

Disciplinary Proceedings regarding [REDACTED]

On 18 September 2019, the Conduct Committee received a Complaint from Mr Tony Burke (Head of Member Services Operations at the Actuaries Institute) with respect to the conduct of [REDACTED] during an on-line examination held by the Institute and Faculty of Actuaries on 8 April 2019 (UK).

In line with the Institute's Disciplinary Scheme, an Investigating Sub-Committee was formed to investigate the Complaint and determined on 9 January 2020 that there was a *prima facie* case of Misconduct (as defined in Rule 3.2 of the Disciplinary Scheme) having been committed by [REDACTED].

The Convenor of the Conduct Committee then provided a copy of the Sub-Committee's determination to the Convenor of the Tribunal Panel who, in turn, established a Tribunal to determine the Complaint.

The members of the Tribunal were Dr David Knox (Chair), Mr Craig Calder (lay participant), Ms Narelle Bell (legal professional), Mr Greg Bird (Institute Member) and Mr Brett Ward (Institute Member).

Following an admission by [REDACTED] of having committed Misconduct, the reading of the Sub-Committee's report and the conduct of a hearing on 3 March 2020, the Tribunal determined unanimously that [REDACTED] had engaged in Misconduct, namely that he had:

- Provided his answers to an exam question to another student so the other student could gain advantage during a professional exam;
- Aided another person to engage in Misconduct; and
- Not complied with the Code of Conduct.

The Tribunal determined unanimously that [REDACTED]:

- a) be given a Reprimand in relation to the conduct set out above (pursuant to Rule 8.3 (b)(ii) of the Disciplinary Scheme); and
- b) be required to make a contribution of \$1,000 towards the Institute's costs of the proceedings (pursuant to Rule 8.3 (b)(x) of the Disciplinary Scheme).

The Tribunal determined that the report of this case be published on the Institute's website (including the name of the respondent) because the public interest in publication of the Tribunal's determination to make plain and public its conclusions about the seriousness with which the conduct complained of should be treated, outweighs any prejudice that might accrue to [REDACTED]. The Institute's bulletin to Members should refer to the fact that publication of the disciplinary decision is available on the Institute's website. It also determined that [REDACTED] name be redacted from any public notice of these proceedings three years after it was published.

The Tribunal also noted that [REDACTED] is in the early stages of his actuarial career and that he has acknowledged his mistake. The Tribunal considers he should continue to pursue his actuarial education and wishes him well for his future actuarial career.



Dr David Knox, Tribunal Convenor:

12 March 2020