

Disciplinary Scheme Notice: Proceedings against nine Student Members and two Associate Members sitting IFoA Exams

On 23 December 2021, the Conduct Committee was notified of breaches in educational assessment regulations by nine Student Members and two Associate Members of the Institute during on-line examinations held by the Institute and Faculty of Actuaries (IFoA) in September 2021.

The Institute was provided with a copy of the letter issued to each student advising them of the outcome of the IFoA's investigation and their finding. The finding and primary sanction* applied by the IFoA is as follows:

Finding	Disqualification	Applicable to
Serious breach (plagiarism and collusion)	24 months	3 x Student Members
Serious breach (collusion)	24 months	4 x Student Members
Breach (above significant threshold for plagiarism)	6 months	1 x Student Member 1 x Associate Member
	The exam in which the breach occurred	1 x Student Member 1 x Associate Member

* Other sanctions and/or requirements applied to each finding where the disqualification period was six months or more

A view was jointly formed by Steve Schubert, the Convenor of the Conduct Committee, and David Knox, the Convenor of the Tribunal Panel (the Convenors) that there was a *prima facie* case of Misconduct (as defined in Rule 3.2 of the Disciplinary Scheme) committed by each Member.

In accordance with the Disciplinary Scheme Guidance – [Breaches in Educational Assessment Regulations](#) (Sept 2021), each Member was contacted by the Institute to establish whether they would be lodging an appeal. One Member failed to respond and only four of the other Members lodged an appeal with the IFoA. All of the appeals were unsuccessful.

For the eight Student Members and one Associate Member that received a disqualification period of six months or more, the Disciplinary Action applied in accordance with the Disciplinary Scheme Guidance is as follows:

- A restriction from sitting any assessment with the Institute for the equivalent period of time as specified in the IFoA decision, from the date of the IFoA decision (15 December 2021).

For the one Student Member and one Associate Member disqualified from the single exam where the breach occurred, there was no comparable Disciplinary Action that could be applied, but instead it has been recorded on their respective membership records that they had engaged in misconduct.

The Convenors determined that a combined Disciplinary Scheme Notice should be published on the Institute's website. The Institute's weekly Bulletin to all Members should refer to the fact that publication of these disciplinary proceedings are available on the Institute's website.

February 2022